



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/692,778 | 10/19/2000 | Tatsuki Wade | 81868.0017 |

26021
HOGAN & HARTSON L.L.P.
500 S. GRAND AVENUE
SUITE 1900
LOS ANGELES, CA 90071-2611

FORMALITIES LETTER



OC000000005618078

Date Mailed: 12/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$170.
 - \$90 for 5 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1010.

710.00
90.00
80.00
130.00

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2001 BRIGUYEN1 00000063 09692778

01 FC:101
02 FC:103
03 FC:102
04 FC:105



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SECTOR #

PATENT
81868.0017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tatsuki WADE et al.

Serial No: 09/692,778

Filed: October 19, 2000

For: OPTICAL PICKUP APPARATUS AND
METHOD FOR MANUFACTURING THE SAME

Art Unit: 3662

Examiner: Not assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION**

Box MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated December 13, 2000, response to which is due February 13, 2001, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted."
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ A check in the amount of \$880.00 in payment of the filing fee.
- ☒ A check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: February 6, 2001

By: _____

Louis A. Mok

Registration No. 22,585

Attorney for Applicant(s)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington D.C. 20231, on

February 6, 2001

Date of Deposit

Louis A. Mok

Name

2/6/01

Signature

Date

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500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Phone: 213-337-6700
Fax: 213-337-6701



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